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Confirmation No. 8014

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ction of information unless it displays a valid OMB control number. Paperwork Reduction Act of 1995, no persons are required to respond to Application Number 10/743,109 TRANSMITTAL Filing Date December 23, 2003 First Named Inventor FORM Dasseux, et al AUG 1 1 2006 Art Unit 1614 Examiner Name Zucker, Paul A. correspondence after initial filing) Attorney Docket Number PC 20612A (10173-106-999) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer below): Extension of Time Request Copies of Related Art Request for Refund **Express Abandonment Request** Information Disclosure Statement (37 CD, Number of CD(s) C.F.R. 1.97(b)) Information Disclosure Statement Return Postcard Landscape Table on CD Certified Copy of Priority Remarks Document(s) Authorization to charge the fee and any additional fees as necessary or credit any overpayment to deposit account 23-0455 is hereby given. Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Signature Printed name William R. Boudreaux Date Reg. No. 35,796 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature

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Date

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yped or printed name

Cynthia D. Bernges



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No

10/743,109

Confirmation No. 8104

Applicant

Dasseux, et al.

Filed

December 23, 2003

TC/A.U.

1614

Examiner

Zucker, Paul A.

Docket No.

PC 20612A(10173-106-999)

Customer No.

28880

Title

"Cycloalkyl-Hydroxyl Compounds and Compositions for

Cholesterol Management and Related Uses"

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Forms PTO/SB/08 are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d); within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; before the mailing of the first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form PTO/SB/08 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Applicants also point out the following pending applications that arise out of the same patent family:

US Serial Number: 10/743,287 filed on December 23, 2003, and

US Serial Number: 10/743470 filed on December 23, 2003.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Forms PTO/SB/08, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge the required fee under 37 C.F.R. §1.17(p), any other necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: August 3 2006

William R. Boudreaux, 35,796

Warner-Lambert Company

2800 Plymouth Road

Ann Arbor, MI 48105

Telephone: (734) 622-1363 Facsimile: (734) 622-1553



Substitute for form 1449/PTO

Confirmation No. 8014

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

 Complete if Known

 Application Number
 10/743,109

 Filing Date
 December 23, 2003

 First Named Inventor
 Dasseux, et al

 Art Unit
 1614

 Examiner Name
 Zucker, Paul A.

 Attorney Docket Number
 PC 20612A (10173-106-999)

			U. S. PATENT	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code ^{2 (# known)}			Figures Appear
		^{US-} 3,930,024A	. 12/30/1975	Creger, Paul L	
		^{US-} 4,287,200A	9/1/1981	Kawamatsu, Yitala. et al	
		^{US-} 5,756, 544A	5/26/1998	Greger, Paul L, et al	
		^{US-} 2005-0043278	12/23/2003	Dasseux, et al	
		^{US-} 2004-0209847A1	10/21/04	Dasseux, et al	
-		US-3,152,148A	10/1964	Easterly, et al	
		US-3,773,946A	11/1973	Creger	
		US-3,930,024A	12/1975	Creger	
		^{US-} 4,287,200A	9/1981	Kawamatsu, et al	
		^{US-} 4,584,321A	4/1996	Manghisi, et al	
		^{US-} 4,613,593A	9/1986	Yamatsu, et al	
		^{US-} 4,634,719A	1/1987	Takaishi, et al	
		^{US-} 4,896,344A	8/1987	Bar-Tana, Jacob	
:		US-4,711,896A	12/1987	Bar-Tana, et al.	
		US- 4,714,762A	12/1987	Hoefle, et al	
		US- 5,166,174A	11/1992	Ueno, et al	
		^{US-} 5,225,439A	7/1993	Ueno, et al	
		US- 5,284,858A	2/1994	Ueno, et al	
		US- 5,380,709A	1/1995	Ueno, et al	

		FOREIGN	PATENT DOC	UMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Ι.
		Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
		FR 1 545 224A	11/8/1968	Geigy AG J R		
		GB 1196594 English Equivalent to FR 1545 224	7/1/1970	Howell		
		GB 1196595 English Equivalent to FR 1545 224	7/1/1970	Howell		
		GB 1196596 English Equivalent to FR 1545 224	7/1/1970	Howell		
		GB 1196597 English Equivalent to FR 1545 224	7/1/1970	Howell	·	
		GB 1196598 English Equivalent to FR 1545 224	7/1/1970	Howell		

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Substitute for form 1449/PTO		. Complete if Known			
			Application Number	10/743,109	
INI	EODMATIC	AN DIG	CI OSHIDE	Filing Date	December 23, 2003
	INFORMATION DISCLOSURE			First Named Inventor	Dasseux, et al
ST	—		PPLICANT	Art Unit	1614
	(Use as many sheets as necessary)		Examiner Name	Zucker, Paul A.	
Sheet	2	of	18	Attorney Docket Number	PC 20612A (10173-106-999)

	,			T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	ļ	Number-Kind Code ^{2 (If known)}	144.55	<u></u>	rigules Appeal
		^{US-} 5,428,062A	6/1995	Ueno, et al	
	Ì	^{US-} 5,648,387A	7/1997	Bisgaier, et al	
		^{US-} 5,750,569A	5/1998	Bisgaier, et al	
		^{US-} 5,756,344A	5/1998	Onda, et al	
		^{US-} 5,756,544A	5/1998	Bisgaier, et al	
		^{US-} 5,783,600A	7/1998	Bisgaier, et al	
		US- 5,834,596A	11/1998	Ageland, et al	
		US- 5,886,034A	4/1999	Ueno, et al	
		^{US-} 6,004,925A	12/1999	Dasseux, et al	
		^{US-} 6,037,323 A	4/2000	Dasseux	
		^{US-} 6,459,003	10/2002	Dasseux, et al	
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		US- 6,703,422	4/9/2004	Dasseux, et al	
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		^{US-} 3,773,946	11/1973	Creger	
		US- 3,930,024	12/1975	Creger	

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	۲
		WO 98/30530A	7/16/1998	Bar-Tana, Jacob		
		EP 0 284 108	9/28/87	McFetridge		
		WO 96/30328	7/16/98	Baluais		
		WO 99/00116	1/7/99	Bar-Tana, Jacob		
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Examiner	Date	
Signature	Considered	
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			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	-	Number-Kind Code ^{2 (# known)}	0/1001	Varuametru et al	T Igator Appeal
	<u> </u>	US- 4,287,200	9/1981	Kawamatsu et al	
	<u> </u>	^{US-} 4,584,321	4/1986	Manghisi et al	
		US- 4,613,593	9/1986	Yamatsu et al	
		US- 4,634,719	1/1987	Takaishi et al	
		^{US-} 4,639,344	8/1987	Bar-Tana et al	
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		US- 5,502,198	3/1996	Picard et al	
		US- 5,504,073	4/1996	Homan	
		US- 5,578,639	11/1996	Homan	
		US- 5,633,287	5/1997	Lee et al	
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		US-6,093,719	7/2000	Bocan	
		US- 6,093,744	7/2000	Lee, et al	
		US- 6,124,309	9/2000	Bocan	
		US-6,143,755	11/2000	Bocan	

Examiner Cite Initials* No.	Cite No.1	Foreign Patent Document	IGN PATENT DOCU Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
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INFORMATION DISCLOSURE Filing Date		Complete If Known				
Odbouldi	0 101 101111 1443/1 10			Application Number	10/743,109	
INFO	PRMATIO	N DIS	CLOSURE	Filing Date	December 23, 2003	***************************************
		PPLICANT	First Named Inventor	Dasseux, et al		
	///aa aa mamu al	h4		Application Number 10/743,109 Filing Date December 23, 2003 First Named Inventor Dasseux, et al		
	(USB as many SI	neets as ne	ecessary)	Examiner Name	Zucker, Paul A.	·····
Sheet	4	of	18	Attorney Docket Number	PC 20612A (10173-106-999)	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	T ²
Initials*	No.¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	7-
		BICKING, et al., "11,12-Secoprostaglandins. 1. Acylhydroxyalkanoic acids and related compounds", J. Med. Chem., 1977, pp. 35-43, vol. 20	
		NAGANO H, et al., "Stereoselectivity in the formation and radical reduction of cyclic bromoacetals, key intermediates for the sythesis of delta-hydroxy-and epsilon-hydroxy-alpha-methylcarboxylic acid esters", Tetrahedron Letters, 2003, pp. 6867-6870, vol. 44, no. 36	
		BOBROVA, et al., abstract, J. Org. Chem, 1983, pp. 259-261, vol. 19	
		NARASAKA, et al., abstract, Bull. Chem. Soc, 1987, pp. 1457-1464, vol. 60, no. 4	
		RIEKE, et al., abstract, J. Org. Chem, 1996, pp. 2726-2730, vol. 61. no. 8	
		PECHMANN, abstract, Chem. Ber., 1904, page 3819, vol. 37	
		LARDELLI, et al., abstract, Recl. Trav. Chim., 1967, pp. 481-503, vol. 86	
		CRISAN, abstract, Ann. Chim., 1956, pp. 436-459, vol. 13, no. 1	
		BLATT, et al., The reducing action of the Grignard reagent and the synthesis of tertiary aliphatic carbinols", J. Org. Chem., 1932, pp. 1495-1499, vol. 54	
-		BROWN, et al., "Hydroboration. 67. Cyclic hydroboration of acyclic alpha, omega-dienes with 9-Borabicyclo '3.3.1 !nonane/borane-dimethyl sulfide", J. Org. Chem., pp. 1072-1078, vol. 49, no. 6	

	Examiner	Date	
ı	Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	10/743,109
INF	IFORMATION DISCLOSUF TATEMENT BY APPLICAN (Use as many sheets as necessary)	CLOSURE	Filing Date	December 23, 2003	
STA	TEMENT	BY AI	PPLICANT	First Named Inventor	Dasseux, et al
	(Use as many sheets as necessary)		reesant)	Art Unit	1614
	(Ose as many si	neeus as ne		Examiner Name	Zucker, Paul A.
Sheet	5	of	18	Attorney Docket Number	PC 20612A (10173-106-999)

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		WEBER, et al., abstract, J. Med. Chem., 1992, pp. 3755-3773, vol. 35, no. 21	
		YAMAMOTO, "Asymmetric synthesis of 5-and 6-membered lactones from cyclic substrates bearing a c2-chiral auziliary", J. Org. Chem., 1991, pp. 1112-1119, vol. 35, no. 21	
		OOI T, et al., abstract, Angewandte Chemie., 2001, vol. 40, no. 19	
		ENGLISH, J. Am. Chem. Soc., 1941, pg. 942, vol. 63	
		GLEITER, et al., Synthesis of 5,510,10-tetramethyl-1-oxacyclotridecane-6,7,8,9-tetrone-on the mechanism of the Rubottom reaction, 1995, (9), pp. 1655-1661	
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		MOMENTEAU, et al., abstract, J. Chem Soc. Perkin Trans. 1985, pgs 221-232	
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INFO	DRMATION	N DIS	CLOSURE	Filing Date	December 23, 2003
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Sheet	6	of	18	Attorney Docket Number	PC 20612A (10173-106-999)

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Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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STA	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Application Number 10/743,109 Filing Date December 23, 2003 First Named Inventor Dasseux, et al Art Unit 1614 Examiner Name Zucker, Paul A.	PPLICANT	First Named Inventor	Dasseux, et al		
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Sheet	. 8	of	18	Attorney Docket Number	PC 20612A (10173-106-999)	

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Application Number. Substitute for form 1449/PTO INFORMATION DISCLOSURE **Filing Date** December 23, 2003 STATEMENT BY APPLICANT First Named Inventor Dasseux, et al Art Unit 1614 (Use as many sheets as necessary) **Examiner Name** Zucker, Paul A. Attorney Docket Number PC 20612A (10173-106-999) Sheet 10 18 of

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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/743,109	
INFO	DRMATION	I DIS	CLOSURE	Filing Date	December 23, 2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Dasseux, et al	
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(Use as many sheets as necessary)			ecessary)	Examiner Name	Zucker, Paul A.	
Sheet 13 of 18		18	Attorney Docket Number	PC 20612A (10173-106-999)		

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue	T ²
		number(s), publisher, city and/or country where published.	
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·	NO.	number(s), publisher, city and/or country where published.	
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Sheet 15 of 18		Attorney Docket Number	PC 20612A (10173-106-999)			

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Sheet	17	of	18	Attorney Docket Number	PC 20612A (10173-106-999)		

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